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PATENT Attorney Docket No. MKC-005

### E UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): He et al. CONFIRMATION NO.:

Not yet assigned

SERIAL NO .:

10/549.778

FILING DATE:

September 19, 2005 EXAMINER:

Not yet assigned Not yet assigned

TITLE:

RECOVERY OF DNA

## CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

GROUP NO .:

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 15th day of March, 2006.

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir

Submitted herewith is/are:

- Transmittal Form (1 page);
- 2. Information Disclosure Statement (3 pages);
- Form PTO-1449 citing references A1-A2, B1-B2, and C1-C4 (1 page);
- Copies of references B1-B2 and C1-C4; and 4.
- Return Receipt Postcard.

	OFE 40									
	3		Application Serial Number			10/549,778				
( MAR 17 2006 E			Filing Date		September 19, 2005					
	A WALL		First Named	Inventor	He	He				
	TRANSMITT	Group Art U	Jnit	Not	yet assigned					
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	FORM		Attorney Do	ocket No.	MK	MKC-005 Not applicable				
			Patent No.		Not					
			Issue Date	*	Not	applicable				
		ENG	CLOSUPES (	heck all that apply)						
ПЕ	ee Transmittal Form			e to File Missing		Notice of Appeal to Board				
			Parts of Applie		_	of Patent Appeals and Interferences				
	☐ Check Attached ☐ Copy of Fee Transmittal Form		Formal Drawin	ng(s)		Appeal Brief (in triplicate)				
	Amendment/Response		Request For C			Status Inquiry				
	Preliminary After Final		Examination ( Transmittal	·	$\boxtimes$	Return Receipt Postcard				
	☐ Affidavits/declaration(s) ☐ Letter to Official ☐ Draftsperson		Power of Attor (Revocation of	mey f Prior Powers)	$\boxtimes$	Certificate of First Class Mailing under 37 C.F.R. 1.8				
	including Drawings [Total Sheets]	☐ Terminal Disclaimer		laimer		Certificate of Facsimile Transmission under 37 C.F.R. 1.8				
	Petition for Extension of Time		Executed Declaration and Power of Attorney for Utility or Design Patent Application			Additional Enclosure(s) (please identify below)				
<ul> <li>Information Disclosure         Statement (3 pgs.)</li> <li>Form PTO-1449</li> <li>Copies of IDS         Citations B1-B2 and         C1-C4</li> </ul>			Small Entity S	tatement						
			CD(s) for large table or computer program							
₽	Certified Copy of Priority Document(s)		Amendment After Allowance							
			Request for Certificate of Correction Certificate of Correction (in duplicate)							
CORR	ESPONDENCE ADDRESS			SIGNATURE BL	оск					
Direct	Goodwin Exchange Boston, N Tel. No.: Fax No.:	Iministrator Procter LLF Place 4A 02109 (617) 570-1 (617) 523-1 No. 05141	1000	Reg. No. 58,312 Tel. No.: (617) 570-	Respectfully submitted, ate: March 15, 2006					

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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He et al.

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Not yet assigned

TITLE:

RECOVERY OF DNA

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with 37 C.F.R. 1.98(a)(2), only copies of the foreign patent documents and non-patent publications are enclosed.

## REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

(1)	within three (3) months of the <b>filing date</b> of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the <b>date of entry of the national stage</b> as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the <b>first Office action</b> on the merits or before the mailing of a <b>first Office action</b> after the filing of a request for continued examination under 37 C.F.R. 1.114; or
(2)	after the period defined in (1) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and

Information U.S. Serial Atty. Dock	No.: 10	
		the requisite Statement is below, OR
		the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or
	(3)	after the mailing date of a final action or notice of allowance but before the payment of the issue fee, $\Delta ND$
		the requisite Statement is below, AND
		the requisite petition fee under 37 C.F.R. 1.17(p), namely $\bf \$180.00$ is included herein.
	•	fully requested that each of the patents and publications listed on the attached Form their information contained herein, be made of record in this application.
		STATEMENT
As re	equired	under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that
[check the Statemen		priate space only if either (2) or (3) is checked on the previous page <u>and</u> the uired]:
		Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application <b>not more than three months</b> prior to the filing of the Information Disclosure Statement; or
	] 2	No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R.  1.56(c) more than three months prior to the filing of the Information Disclosure

Statement.

Information Disclosure Statement U.S. Serial No.: 10/549,778 Atty. Docket No.: MKC-005

Date: March 15, 2006 Reg. No. 58,312

Tel. No.: (617) 570-1657 Fax No.: (617) 523-1231

VER 9/00 LIBC/2699738.1 Respectfully submitted,

Roclell D. Mo

Randall D. Morin Attorney for Applicants Goodwin Procter LLP

Exchange Place Boston, Massachusetts 02109 Goodwin Customer No. 051414

SHEET 1 OF 1

MARRIE												
FORM PTO - 1449					ATTORNEY DOCKET NO.: MKC-005							
INFORMATION DISCLOSURE STATEMENT				APPLICANT(S): He et al.								
				SERIAL NO.: 10/549,778								
					FILING DATE: 09/19/2005 GROUP: Not yet assigned							
-			U.S.	PATEN	ΤE	OCUM	ENTS					
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME				CLASS	SUI CL/			DATE IF
/KH/	A1	6 291 170 B1	09/18/2001	Van G	elde	r et al.						
/KH/	A2	6 379 932 B1	04/30/2002	Arnold	et a	ıl.						
			FOREIG	SN PAT	EN	T DOCL	MENT	5				
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNT	CLASS SUB CLASS			FILING DATE	ABSTRA ONLY			ENG LANG (Y/N)
/KH/	В1	0 549 107 A	06/30/1993	EP				10/09/9	2			Y
/KH/	B2	01/38556 A1	05/31/2001	wo				11/22/0	00			Y
			OTHER AF									
EXAM. INIT.	ОТІ	HER DOCUMEN	TS: (Includin	g Author	, Tit	le, Date, R	elevant I	Pages, Pla	ce of	Publi	ication)	
/KH/	C1	C1 Dixon et al. (October 1998) "Expression profiling of single cells using 3 prime end amplification . (TPEA) PCR* Nucleic Acids Research 26: 4426-31.										
/KH/	C2	C2 He et al. (July 2002) "Ribosome Display: Cell-free protein display technology" Briefings in Functional Genomics and Proteomics 1: 204-12.										
/KH/	СЗ	C3 International Search Report, Transmittal for Written Opinion of the International Searching Authority, and Written Opinion of the International Searching Authority for International Patent Application Serial Number PCT/GB2004/001211, dated 08/17/04, 11 pages.										
/KH/	C4	C4 United Kingdom Patent Office Search Report for Patent Application Serial Number 0306305, dated 08/12/03, 1 page.										
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